

? show files;ds

File 347:JAPIO Nov 1976-2005/Apr(Updated 050801)  
(c) 2005 JPO & JAPIO  
File 348:EUROPEAN PATENTS 1978-2005/Sep W01  
(c) 2005 European Patent Office  
File 349:PCT FULLTEXT 1979-2005/UB=20050908,UT=20050901  
(c) 2005 WIPO/Univentio  
File 350:Derwent WPIX 1963-2005/UD,UM &UP=200557  
(c) 2005 Thomson Derwent  
File 371:French Patents 1961-2002/BOPI 200209  
(c) 2002 INPI. All rts. reserv.  
File 120:U.S. Copyrights 1978-2005/Sep 06  
(c) format only 2005 Dialog  
File 426:LCMARC-Books 1968-2005/Sep W1  
(c) format only 2005 Dialog  
File 430:British Books in Print 2005/Sep W1  
(c) 2005 J. Whitaker & Sons Ltd.  
File 483:Newspaper Abs Daily 1986-2005/Sep 10  
(c) 2005 ProQuest Info&Learning  
File 2:INSPEC 1969-2005/Sep W1  
(c) 2005 Institution of Electrical Engineers  
File 35:Dissertation Abs Online 1861-2005/Aug  
(c) 2005 ProQuest Info&Learning  
File 65:Inside Conferences 1993-2005/Sep W2  
(c) 2005 BLDSC all rts. reserv.  
File 99:Wilson Appl. Sci & Tech Abs 1983-2005/Jul  
(c) 2005 The HW Wilson Co.  
File 474:New York Times Abs 1969-2005/Sep 10  
(c) 2005 The New York Times  
File 475:Wall Street Journal Abs 1973-2005/Sep 09  
(c) 2005 The New York Times  
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13  
(c) 2002 The Gale Group  
File 256:TecInfoSource 82-2005/Sep  
(c) 2005 Info.Sources Inc  
File 139:EconLit 1969-2005/Jul  
(c) 2005 American Economic Association  
File 9:Business & Industry(R) Jul/1994-2005/Sep 08  
(c) 2005 The Gale Group  
File 15:ABI/Inform(R) 1971-2005/Sep 10  
(c) 2005 ProQuest Info&Learning  
File 16:Gale Group PROMT(R) 1990-2005/Sep 09  
(c) 2005 The Gale Group  
File 20:Dialog Global Reporter 1997-2005/Sep 12  
(c) 2005 Dialog  
File 148:Gale Group Trade & Industry DB 1976-2005/Sep 12  
(c)2005 The Gale Group  
File 160:Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group  
File 275:Gale Group Computer DB(TM) 1983-2005/Sep 09  
(c) 2005 The Gale Group  
File 476:Financial Times Fulltext 1982-2005/Sep 12  
(c) 2005 Financial Times Ltd  
File 610:Business Wire 1999-2005/Sep 12  
(c) 2005 Business Wire.  
File 613:PR Newswire 1999-2005/Sep 12  
(c) 2005 PR Newswire Association Inc  
File 621:Gale Group New Prod.Annou.(R) 1985-2005/Sep 12  
(c) 2005 The Gale Group  
File 624:McGraw-Hill Publications 1985-2005/Sep 12

*Inventor  
Search*

(c) 2005 McGraw-Hill Co. Inc  
 File 634:San Jose Mercury Jun 1985-2005/Sep 10  
 (c) 2005 San Jose Mercury News  
 File 636:Gale Group Newsletter DB(TM) 1987-2005/Sep 09  
 (c) 2005 The Gale Group  
 File 810:Business Wire 1986-1999/Feb 28  
 (c) 1999 Business Wire  
 File 813:PR Newswire 1987-1999/Apr 30  
 (c) 1999 PR Newswire Association Inc  
 File 267:Finance & Banking Newsletters 2005/Sep 12  
 (c) 2005 Dialog  
 File 268:Banking Info Source 1981-2005/Aug W4  
 (c) 2005 ProQuest Info&Learning  
 File 625:American Banker Publications 1981-2005/Sep 09  
 (c) 2005 American Banker  
 File 626:Bond Buyer Full Text 1981-2005/Sep 09  
 (c) 2005 Bond Buyer  
 File 13:BAMP 2005/Aug W4  
 (c) 2005 The Gale Group  
 File 56:Computer and Information Systems Abstracts 1966-2005/Aug  
 (c) 2005 CSA.  
 File 75:TGG Management Contents(R) 86-2005/Sep W1  
 (c) 2005 The Gale Group

Set	Items	Description
S1	8	AU='DUNNE M'
S2	1	AU='DUNNE M F'
S3	2	AU='DUNNE MICHAEL'
S4	2	AU='DUNNE MICHAEL F'
S5	1	AU='DUNNE MIKE'
S6	1	AU='DUNNE, M'
S7	69	AU='DUNNE, M.'
S8	42	AU='DUNNE, MICHAEL'
S9	1	AU='DUNNE, MICHAEL F.'
S10	2	AU='DUNNE, MICHAEL, 1941-':AU='DUNNE, MICHAEL, 1974-'
S11	16	AU='DUNNE, MICKIE':AU='DUNNE, MIKE, 1960'
S12	7	AU='HARTHEIMER R':AU='HARTHEIMER RICHARD'
S13	0	AU='HARTHEIMER, RICHARD'
S14	3	AU='HARTHEIMER'
S15	3	AU='TOGHER M':AU='TOGHER MICHAEL 333 RECTOR PLACE'
S16	0	AU='TOGHER, MICHAEL'
S17	150	S1:S16
S18	16	S17 FROM 347,348,349,350,371
S19	3	IC=G06F-017?
S20	3	S18 AND S19
S21	3	FLOORS OR TRADINGFLOOR? ? OR TRADING() (AREA? ? OR ROOM? ? - OR CHAMBER? ?)
S22	3	S18 AND S21
S23	4	S19 OR S22
S24	4	IDPAT (sorted in duplicate/non-duplicate order)
S25	2	IDPAT (primary/non-duplicate records only)
S26	134	S17 NOT S18
S27	23	TRADES OR TRADING OR EXCHANG? OR TRANSACTION? ? OR DEALING? ? OR DEALS OR SELL??? OR TRANSFER? ? OR TRANSFERR??? OR ACTI- VITY OR BUY??? OR INVEST???
S28	16	S26 AND S27
S29	8	S28 NOT PY>1992
S30	1	S29 NOT PD=19920204:20051031
S31	1	RD (unique items)
S32	3	S25 OR S31

32/3,K/1 (Item 1 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2005 Thomson Derwent. All rts. reserv.

012089334 \*\*Image available\*\*  
WPI Acc No: 1998-506245/199843  
Related WPI Acc No: 1993-258886  
XRPX Acc No: N98-394700

**Electronic trading system connected to communication network - announces  
pips amounts of bid and offer components separately with audible pitch  
bid component higher than that of offer component**

Patent Assignee: EBS DEALING RESOURCES INC (EBS-D-N)  
Inventor: HARTHEIMER R; HOWORKA E; KLEPKA C; SHINN P  
Number of Countries: 001 Number of Patents: 001  
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 5806050	A	19980908	US 92830408	A	19920203	199843 B
			US 9376317	A	19930611	
			US 93226511	A	19931112	

Priority Applications (No Type Date): US 93226511 A 19931112; US 92830408 A  
19920203; US 9376317 A 19930611

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
US 5806050	A	17	G06F-017/60	CIP of application US 92830408 CIP of application US 9376317 CIP of patent US 5375055

International Patent Class (Main): G06F-017/60

32/3,K/2 (Item 2 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2005 Thomson Derwent. All rts. reserv.

009565338 \*\*Image available\*\*  
WPI Acc No: 1993-258886/199332  
Related WPI Acc No: 1998-506245  
XRPX Acc No: N93-199133

**Credit management for electronic brokerage system - uses computer  
communication network connecting traders in financial instruments for  
circulating anonymous price quotation based on established credit limits**

Patent Assignee: CITICORP DEALING RESOURCES INC (CITI-N); FOREIGN EXCHANGE  
TRANSACTION SERVICES (FORE-N); EBS DEALING RESOURCES INC (EBS-D-N)  
Inventor: DUNNE M F; HARTHEIMER R; TOGHER M; **TOGHER M F**  
Number of Countries: 022 Number of Patents: 014  
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9315467	A1	19930805	WO 93US916	A	19930202	199332 B
AU 9336054	A	19930901	AU 9336054	A	19930202	199350
EP 625275	A1	19941123	EP 93904823	A	19930202	199445
			WO 93US916	A	19930202	
US 5375055	A	19941220	US 92830408	A	19920203	199505
AU 658490	B	19950413	AU 9336054	A	19930202	199524
JP 7506916	W	19950727	JP 93513493	A	19930202	199538
			WO 93US916	A	19930202	
EP 625275	B1	19970416	EP 93904823	A	19930202	199720
			WO 93US916	A	19930202	
DE 69309905	E	19970522	DE 609905	A	19930202	199726

			EP 93904823	A	19930202	
			WO 93US916	A	19930202	
ES 2103467	T3	19970916	EP 93904823	A	19930202	199744
SG 46664	A1	19980220	SG 967621	A	19930202	199822
CA 2129250	C	19990608	CA 2129250	A	19930202	199941
			WO 93US916	A	19930202	
US 6014627	A	20000111	US 92830408	A	19920203	200010
			US 94324843	A	19941018	
			US 96665594	A	19960618	
US 20040059669	A1	20040325	US 92830408	A	19920203	200422
			US 94324843	A	19941018	
			US 96665594	A	19960618	
			US 98169884	A	19981012	
			US 2000563461	A	20000502	
			US 2003665570	A	20030922	
US 20040059668	A1	20040325	US 92830408	A	19920203	200422
			US 94324843	A	19941018	
			US 96665594	A	19960618	
			US 98169884	A	19981012	
			US 2000563461	A	20000502	
			US 2003665141	A	20030922	

Priority Applications (No Type Date): US 92830408 A 19920203; US 94324843 A 19941018; US 96665594 A 19960618; US 98169884 A 19981012; US 2000563461 A 20000502; US 2003665141 A 20030922; US 2003665570 A 20030922

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
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WO 9315467	A1	E	36	G06F-015/24	
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Designated States (National): AU CA JP US

Designated States (Regional): AT BE CH DE DK ES FR GB GR IE IT LU MC NL PT SE

AU 9336054	A			G06F-015/24	Based on patent WO 9315467
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EP 625275	A1	E	2	G06F-015/24	Based on patent WO 9315467
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Designated States (Regional): AT BE CH DE DK ES FR GB GR IE IT LI LU MC NL PT SE

US 5375055	A		16	G06F-015/21	
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AU 658490	B			G06F-015/24	Previous Publ. patent AU 9336054
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Based on patent WO 9315467

JP 7506916	W		17	G06F-017/60	Based on patent WO 9315467
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EP 625275	B1	E	24	G06F-017/60	Based on patent WO 9315467
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Designated States (Regional): AT BE CH DE DK ES FR GB GR IE IT LI LU MC NL PT SE

DE 69309905	E			G06F-017/60	Based on patent EP 625275
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Based on patent WO 9315467

ES 2103467	T3			G06F-017/60	Based on patent EP 625275
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SG 46664	A1			G06F-015/24	
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CA 2129250	C	E		G06F-017/60	Based on patent WO 9315467
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US 6014627	A			G06F-019/00	Cont of application US 92830408
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Cont of application US 94324843

Cont of patent US 5375055

US 20040059669	A1			G06F-017/60	Cont of application US 92830408
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Cont of application US 94324843

Cont of application US 96665594

Cont of application US 98169884

Div ex application US 2000563461

Cont of patent US 5375055

Cont of patent US 6014627

US 20040059668	A1			G06F-017/60	Cont of application US 92830408
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Cont of application US 94324843

Cont of application US 96665594

Cont of application US 98169884  
Div ex application US 2000563461  
Cont of patent US 5375055  
Cont of patent US 6014627

...Inventor: **TOGHER M F**

**32/AA,AN,AZ,TI/1 (Item 1 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

012089334

WPI Acc No: 1998-506245/

**Electronic trading system connected to communication network - announces  
pips amounts of bid and offer components separately with audible pitch  
bid component higher than that of offer component**

Local Applications (No Type Date): US 92830408 A 19920203; US 9376317 A  
19930611; US 93226511 A 19931112

Priority Applications (No Type Date): US 93226511 A 19931112; US 92830408 A  
19920203; US 9376317 A 19930611

**32/AA,AN,AZ,TI/2 (Item 2 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

009565338

WPI Acc No: 1993-258886/

**Credit management for electronic brokerage system - uses computer  
communication network connecting traders in financial instruments for  
circulating anonymous price quotation based on established credit limits**

Local Applications (No Type Date): WO 93US916 A 19930202; AU 9336054 A  
19930202; EP 93904823 A 19930202; WO 93US916 A 19930202; US 92830408 A  
19920203; AU 9336054 A 19930202; JP 93513493 A 19930202; WO 93US916 A  
19930202; EP 93904823 A 19930202; WO 93US916 A 19930202; DE 609905 A  
19930202; EP 93904823 A 19930202; WO 93US916 A 19930202; EP 93904823 A  
19930202; SG 967621 A 19930202; CA 2129250 A 19930202; WO 93US916 A  
19930202; US 92830408 A 19920203; US 94324843 A 19941018; US 96665594 A  
19960618; US 92830408 A 19920203; US 94324843 A 19941018; US 96665594 A  
19960618; US 98169884 A 19981012; US 2000563461 A 20000502; US 2003665570  
A 20030922; US 92830408 A 19920203; US 94324843 A 19941018; US 96665594 A  
19960618; US 98169884 A 19981012; US 2000563461 A 20000502; US 2003665141  
A 20030922

Priority Applications (No Type Date): US 92830408 A 19920203; US 94324843 A  
19941018; US 96665594 A 19960618; US 98169884 A 19981012; US 2000563461 A  
20000502; US 2003665141 A 20030922; US 2003665570 A 20030922

**32/AA,AN,AZ,TI/3 (Item 1 from file: 148)**  
DIALOG(R)File 148:(c)2005 The Gale Group. All rts. reserv.

05215688 SUPPLIER NUMBER: 11130064

**Acting Insurance Commissioner having financial problems. (personal debts  
and questionable business associations of Hunter Wagner)**

? show files;ds  
 File 347:JAPIO Nov 1976-2005/Apr(Updated 050801)  
 (c) 2005 JPO & JAPIO  
 File 350:Derwent WPIX 1963-2005/UD,UM &UP=200557  
 (c) 2005 Thomson Derwent  
 File 371:French Patents 1961-2002/BOPI 200209  
 (c) 2002 INPI. All rts. reserv.

*filiblographic  
 Patent files*

Set	Items	Description
S1	1929703	TRADES OR TRADING OR EXCHANG? OR TRANSACTION? ? OR DEALING? ? OR DEALS OR SELL??? OR TRANSFER? ? OR TRANSFERR??? OR ACTI- VITY OR BUY??? OR INVEST???
S2	5042753	VIRTUAL OR ELECTRONIC OR DIGITAL OR E OR MACHINE()READABLE OR COMPUTERI? OR INTERNET OR WORLDWIDE??? OR WEB OR WIDWEB OR NETWORK?? OR ONLINE OR ON()LINE OR EXTRANET? ? OR INTRANET? ?
S3	5002617	ACROSS OR BETWEEN OR AMONG? OR BEYOND OR INTER OR TRANS OR " (3W) (ANOTHER OR NEXT) FROM"
S4	243078	FLOOR? ? OR TRADINGFLOOR? ? OR TRADING() (AREA? ? OR ROOM? ? OR CHAMBER? ?)
S5	4876871	SEPARAT??? OR SCREEN??? OR PRESSCREEN? OR SORT??? OR SEPARA- T??? OR DIVID??? OR ARRANG??? OR ORGANIZ? OR ORGANIS? OR CLAS- SIF??? OR CATEGORIZ??? OR CATEGORIS??? OR EXTRACT??? OR FILTE- R???
S6	14	(BILATERAL OR MUTUAL?? OR RECIPROCAL OR (2 OR TWO) ()WAY? ?- ) () (CREDIT OR CREDITLINE? OR CREDITLIMIT? OR APPROV???) OR (D- EALABLE OR ACCEPTABLE OR CUSTOMI?) ()QUOTE? ?
S7	5373431	INDICAT??? OR SHOW??? OR DISPLAY??? OR MARK??? OR LABEL??? OR DESIGNAT??? OR IDENTIFY??? OR IDENTIFIES OR SPECIFY??? OR - SPECIFICATION? ?
S8	2762283	PRICE? ? OR PRICING OR CHARGE? ? OR COST? ? OR VALUE OR WO- RTH OR VALUATION? ?
S9	122613	S1(5N)S2
S10	20357	S3(5N)S4
S11	1	S5(10N)S6
S12	102392	S7(5N)S8
S13	5	S9(10N)S10
S14	0	S11(10N)S12
S15	0	S13(S)S14
S16	5908	S12(10N) (S5 OR S6)
S17	15	S9(S)S10
S18	0	S16 AND S17
S19	12249	S9(S) (S10 OR S5 OR S6)
S20	0	S9(S) (S5 AND S6 AND S10)
S21	15	S9(S) (S10 OR (S5 AND S6))
S22	37	S9 AND S10
S23	0	S9(S) (S5 AND S6)
S24	4662	S9(10N) (S5 OR S6)
S25	20	S12(S)S24
S26	57	S21 OR S22 OR S25
S27	338950	IC=G06F-017?
S28	16	S26 AND S27
S29	16	IDPAT (sorted in duplicate/non-duplicate order)
S30	16	IDPAT (primary/non-duplicate records only)

*ad*

30/3,K/6 (Item 6 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2005 Thomson Derwent. All rts. reserv.

014333959

WPI Acc No: 2002-154662/200220

Related WPI Acc No: 2003-102325

XRPX Acc No: N02-117604

**Anonymous trading system e.g. electronic brokerage system for trading financial instruments, has credit agent node in storage unit, for storing credit limits for group of logically separated trading floors**  
Patent Assignee: ELECTRONIC BROKING SERVICES LTD (ELBR-N); EBS NOMINEES LTD (EBSN-N)

Inventor: CRANE A G; GINSBERG P M; HOWORKA E R; IACCHEO S; JAIN N;  
KRISHNASAMI S; MCPHERSON R S; MEROLD M S; MILLS G D; NEYMAN V; SHU J;  
HOWORKA E; KRISHNASAMI R; MEROLD M; MILLS G

Number of Countries: 095 Number of Patents: 007

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200201437	A2	20020103	WO 2001IB1468	A	20010622	200220 B
GB 2366023	A	20020227	GB 20011435	A	20010119	200223
AU 200176634	A	20020108	AU 200176634	A	20010622	200235
EP 1295234	A1	20030326	EP 2001954294	A	20010622	200323
			WO 2001IB1468	A	20010622	
ZA 200202217	A	20030827	ZA 20022217	A	20020319	200362
JP 2004502243	W	20040122	WO 2001IB1468	A	20010622	200411
			JP 2002506499	A	20010622	
GB 2366023	B	20040811	GB 20011435	A	20010119	200453

Priority Applications (No Type Date): US 2000602496 A 20000623

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200201437 A2 E 71 G06F-017/60

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA  
CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS  
JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL  
PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

AU 200176634 A G06F-017/60 Based on patent WO 200201437

EP 1295234 A1 E G06F-017/60 Based on patent WO 200201437

Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT  
LI LT LU LV MC MK NL PT RO SE SI TR

ZA 200202217 A 72 G06F-000/00

JP 2004502243 W 105 G06F-017/60 Based on patent WO 200201437

GB 2366023 B G06F-017/60

**Anonymous trading system e.g. electronic brokerage system for trading financial instruments, has credit agent node in storage unit, for storing credit limits for group...**

Abstract (Basic):

... A storage unit stores credit limits available for traders  
**between** a trading **floor** or group of trading floors and possible  
counterparty trading floors or groups of trading floors...

... **Anonymous trading system e.g. electronic brokerage system**  
for use in **trading** financial instruments such as foreign exchange and  
forward rate agreements, short-dated government and/or...

...International Patent Class (Main): **G06F-017/60**



30/3,K/8 (Item 8 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2005 Thomson Derwent. All rts. reserv.

014283457 \*\*Image available\*\*  
WPI Acc No: 2002-104158/200214

**Method for managing electronic commercial transaction according to deal  
between sellers and buyers**

Patent Assignee: KORRUS.COM CO LTD (KORR-N)  
Inventor: KIM D S; KIM S H; LEE H Y  
Number of Countries: 001 Number of Patents: 001  
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2001078805	A	20010822	KR 200116605	A	20010329	200214 B

Priority Applications (No Type Date): KR 200116605 A 20010329

**Patent Details:**

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
KR 2001078805	A	1	G06F-017/60	

**Abstract (Basic):**

... buyer inputs and transmits a price lower than the suggested price, a system compares the **prices** and outputs a message **indicating** a possibility of the commodity. Buying and selling prices are suggested as the number set...

International Patent Class (Main): **G06F-017/60**

30/3,K/14 (Item 14 from file: 347)  
DIALOG(R)File 347:JAPIO  
(c) 2005 JPO & JAPIO. All rts. reserv.

08330161 \*\*Image available\*\*  
MERCHANDISE TRANSACTION MANAGING DEVICE AND PROGRAM TO BE USED FOR THE SAME

PUB. NO.: 2005-078421 [JP 2005078421 A]  
PUBLISHED: March 24, 2005 (20050324)  
INVENTOR(s): SHIBUSAWA YASUO  
ENDO TAKAYUKI  
APPLICANT(s): SEIKO EPSON CORP  
APPL. NO.: 2003-308744 [JP 2003308744]  
FILED: September 01, 2003 (20030901)

INTL CLASS: **G06F-017/60**

**ABSTRACT**

**PROBLEM TO BE SOLVED:** To much more properly display merchandise relevant to merchandise ordered by **on - line merchandise transaction**.

**SOLUTION:** When an ordered merchandise display- **screen** to display information relating to ordered merchandise is displayed, relevant merchandise ID corresponding to ordered merchandise is read from a merchandise table (S200), and information(the **designation** or **price** and image of merchandise or the like) relating to relevant merchandise is extracted from the...

**30/AN,AZ,TI/1 (Item 1 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

015314099

**Online transaction price determining system provides request screen to purchaser side terminal after obtaining temporary authentication for comparison price between transaction and market bidding price**  
Local Applications (No Type Date): JP 2000390004 A 20001222  
Priority Applications (No Type Date): JP 2000390004 A 20001222

**30/AN,AZ,TI/2 (Item 2 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

014793019

**Goods selling method in electronic-commerce system involves arranging goods information in higher order of user's request and specify price information in terms of difference between fixed and successful bid price**  
Local Applications (No Type Date): JP 200113998 A 20010123  
Priority Applications (No Type Date): JP 200113998 A 20010123

**30/AN,AZ,TI/3 (Item 3 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

014695306

**Method for group buying with lowest prices according to point**  
Local Applications (No Type Date): KR 200175311 A 20011130  
Priority Applications (No Type Date): KR 200175311 A 20011130

**30/AN,AZ,TI/4 (Item 4 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

014596024

**Displaying market depth and price in electronic stock trading or exchange system in way that reduces time taken for trader to place trade**  
Local Applications (No Type Date): WO 2001US31222 A 20011005; US 2000186322 P 20000302; US 2000590692 A 20000609; US 2000238001 P 20001006; US 2001971087 A 20011005; EP 2001977522 A 20011005; WO 2001US31222 A 20011005; KR 2003704928 A 20030407; BR 200114469 A 20011005; WO 2001US31222 A 20011005; CN 2001819039 A 20011005; WO 2001US31222 A 20011005; JP 2002533184 A 20011005  
Priority Applications (No Type Date): US 2000238001 P 20001006; US 2000186322 P 20000302; US 2000590692 A 20000609; US 2001971087 A 20011005

**30/AN,AZ,TI/5 (Item 5 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

014428885

**Web site dealing system for on-line shopping, involves extracting factors affecting worth of homepage using a program and displaying worth information automatically in sponsor web site**  
Local Applications (No Type Date): JP 2000244638 A 20000811  
Priority Applications (No Type Date): JP 2000244638 A 20000811

**30/AN,AZ,TI/6 (Item 6 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

014333959

**Anonymous trading system e.g. electronic brokerage system for trading financial instruments, has credit agent node in storage unit, for storing credit limits for group of logically separated trading floors**

Local Applications (No Type Date): WO 2001IB1468 A 20010622; GB 20011435 A 20010119; AU 200176634 A 20010622; EP 2001954294 A 20010622; WO 2001IB1468 A 20010622; ZA 20022217 A 20020319; WO 2001IB1468 A 20010622; JP 2002506499 A 20010622; GB 20011435 A 20010119  
Priority Applications (No Type Date): US 2000602496 A 20000623

**30/AN,AZ,TI/7 (Item 7 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

014293919

**Computer implemented brokering system for trading anonymously within fixed credit limits over a communications network continuously adjusts the credit limits depending on the exposure of parties following each trade**

Local Applications (No Type Date): WO 2001IB1586 A 20010622; GB 20011422 A 20010119; AU 200180024 A 20010622; EP 2001958306 A 20010622; WO 2001IB1586 A 20010622; AU 200180024 A 20010622; WO 2001IB1586 A 20010622; JP 2002503740 A 20010622; ZA 20022213 A 20020319  
Priority Applications (No Type Date): US 2000603514 A 20000623

**30/AN,AZ,TI/8 (Item 8 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

014283457

**Method for managing electronic commercial transaction according to deal between sellers and buyers**

Local Applications (No Type Date): KR 200116605 A 20010329  
Priority Applications (No Type Date): KR 200116605 A 20010329

**30/AN,AZ,TI/9 (Item 9 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

013997868

**Method for maintaining coherency of web server with clients in client/server network, involves detecting activity in monitor area, storing reference value for activity to be provided to client during client access**

Local Applications (No Type Date): US 99126746 A 19990329; US 99369947 A 19990806  
Priority Applications (No Type Date): US 99126746 P 19990329; US 99369947 A 19990806

**30/AN,AZ,TI/10 (Item 10 from file: 350)**  
DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

013871875

**System for trading an instrument such as a financial instrument by providing a price to buy or sell the instrument to a group of users in electronic commerce**

Local Applications (No Type Date): WO 2000US31313 A 20001115; AU 200114894 A 20001115; EP 2000977225 A 20001115; WO 2000US31313 A 20001115; WO 2000US31313 A 20001115; JP 2001540662 A 20001115; AU 200114894 A 20001115  
Priority Applications (No Type Date): US 99447319 A 19991122

**30/AN,AZ,TI/11 (Item 11 from file: 350)**

DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

012727259

**Stock price display board - has price value displayed in red color during its rise and in green color during its fall**

Local Applications (No Type Date): JP 9844247 A 19980212  
Priority Applications (No Type Date): JP 9844247 A 19980212

**30/AN,AZ,TI/12 (Item 12 from file: 350)**

DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

012471660

**Internet-protocol based anonymous trading system for derivative trading**

Local Applications (No Type Date): WO 98US21518 A 19981013; AU 9912703 A 19981013; EP 98956106 A 19981013; WO 98US21518 A 19981013; US 9762410 P 19971014; US 98169878 A 19981012; WO 98US21518 A 19981013; JP 2000516304 A 19981013; US 9762410 P 19971014; US 98169906 A 19981012; US 9762410 P 19971014; US 98169878 A 19981012; US 20016151 A 20011108; US 9762410 P 19971014; US 98169767 A 19981012; US 9762410 P 19971014; US 98169906 A 19981012; US 2002166565 A 20020610; AU 9912703 A 19981013; US 9762410 P 19971014; US 98169879 A 19981012; US 2000680496 A 20001005; US 2003408764 A 20030407; US 9762410 P 19971014; US 98169767 A 19981012; US 2002277624 A 20021022; US 9762410 P 19971014; US 98169879 A 19981012; US 2000679693 A 20001005; US 2003395710 A 20030324; US 9762410 P 19971014; US 98169879 A 19981012; US 2003377254 A 20030228  
Priority Applications (No Type Date): US 9762410 P 19971014; US 98169878 A 19981012; US 98169906 A 19981012; US 20016151 A 20011108; US 98169767 A 19981012; US 2002166565 A 20020610; US 98169879 A 19981012; US 2000680496 A 20001005; US 2003408764 A 20030407; US 2002277624 A 20021022; US 2003377254 A 20030228; US 2000679693 A 20001005; US 2003395710 A 20030324

**30/AN,AZ,TI/13 (Item 13 from file: 350)**

DIALOG(R)File 350:(c) 2005 Thomson Derwent. All rts. reserv.

009565338

**Credit management for electronic brokerage system - uses computer communication network connecting traders in financial instruments for circulating anonymous price quotation based on established credit limits**

Local Applications (No Type Date): WO 93US916 A 19930202; AU 9336054 A 19930202; EP 93904823 A 19930202; WO 93US916 A 19930202; US 92830408 A 19920203; AU 9336054 A 19930202; JP 93513493 A 19930202; WO 93US916 A 19930202; EP 93904823 A 19930202; WO 93US916 A 19930202; DE 609905 A 19930202; EP 93904823 A 19930202; WO 93US916 A 19930202; EP 93904823 A 19930202; SG 967621 A 19930202; CA 2129250 A 19930202; WO 93US916 A 19930202; US 92830408 A 19920203; US 94324843 A 19941018; US 96665594 A

19960618; US 92830408 A 19920203; US 94324843 A 19941018; US 96665594 A  
19960618; US 98169884 A 19981012; US 2000563461 A 20000502; US 2003665570  
A 20030922; US 92830408 A 19920203; US 94324843 A 19941018; US 96665594 A  
19960618; US 98169884 A 19981012; US 2000563461 A 20000502; US 2003665141  
A 20030922

Priority Applications (No Type Date): US 92830408 A 19920203; US 94324843 A  
19941018; US 96665594 A 19960618; US 98169884 A 19981012; US 2000563461 A  
20000502; US 2003665141 A 20030922; US 2003665570 A 20030922

**30/AN,AZ, TI/14 (Item 14 from file: 347)**

DIALOG(R)File 347:(c) 2005 JPO & JAPIO. All rts. reserv.

08330161

MERCHANDISE TRANSACTION MANAGING DEVICE AND PROGRAM TO BE USED FOR THE SAME

APPL. NO.: 2003-308744 [JP 2003308744]

**30/AN,AZ, TI/15 (Item 15 from file: 347)**

DIALOG(R)File 347:(c) 2005 JPO & JAPIO. All rts. reserv.

07684365

COMMODITY CHARACTERISTIC IMAGE SCREEN DISPLAY METHOD AND COMMODITY  
CHARACTERISTIC IMAGE SCREEN DISPLAY SYSTEM

APPL. NO.: 2001-375872 [JP 2001375872]

**30/AN,AZ, TI/16 (Item 16 from file: 347)**

DIALOG(R)File 347:(c) 2005 JPO & JAPIO. All rts. reserv.

07164442

AUTOMATIC TRANSACTION DEVICE, AUTOMATIC TRANSACTION SYSTEM,  
COMPUTER-READABLE RECORDING MEDIUM WITH TRANSACTION SCREEN DATA RENEWAL  
PROGRAM RECORDED ON IT, AND TRANSACTION SCREEN DATA RENEWAL PROGRAM

APPL. NO.: 2001-111941 [JP 2001111941]

PRIORITY: 2000-112679 [JP 2000112679], JP (Japan), April 13, 2000  
(20000413)

? show files;ds

File 348:EUROPEAN PATENTS 1978-2005/Sep W01

(c) 2005 European Patent Office

File 349:PCT FULLTEXT 1979-2005/UB=20050908,UT=20050901

(c) 2005 WIPO/Univentio

*full text  
Patent  
files*

Set	Items	Description
S1	1056191	TRADES OR TRADING OR EXCHANG? OR TRANSACTION? ? OR DEALING? ? OR DEALS OR SELL??? OR TRANSFER? ? OR TRANSFERR??? OR ACTI- VITY OR BUY??? OR INVEST???
S2	1574899	VIRTUAL OR ELECTRONIC OR DIGITAL OR E OR MACHINE()READABLE OR COMPUTERI? OR INTERNET OR WORLDWIDE??? OR WEB OR WIDEBWEB OR NETWORK?? OR ONLINE OR ON()LINE OR EXTRANET? ? OR INTRANET? ?
S3	1616716	ACROSS OR BETWEEN OR AMONG? OR BEYOND OR INTER OR TRANS OR " (3W) (ANOTHER OR NEXT) FROM"
S4	90500	FLOOR? ? OR TRADINGFLOOR? ? OR TRADING() (AREA? ? OR ROOM? ? OR CHAMBER? ?)
S5	1865097	SEPARAT??? OR SCREEN??? OR PRESSCREEN? OR SORT??? OR SEPARA- T??? OR DIVID??? OR ARRANG??? OR ORGANIZ? OR ORGANIS? OR CLAS- SIF??? OR CATEGORIZ??? OR CATEGORIS??? OR EXTRACT??? OR FILTE- R???
S6	60	(BILATERAL OR MUTUAL?? OR RECIPROCAL OR (2 OR TWO)()WAY? ?- )() (CREDIT OR CREDITLINE? OR CREDITLIMIT? OR APPROV???) OR (D- EALABLE OR ACCEPTABLE OR CUSTOMI?)()QUOTE? ?
S7	1079063	PRICE? ? OR PRICING OR CHARGE? ? OR COST? ? OR VALUE OR WO- RTH OR VALUATION? ?
S8	174995	S7(5N) (INDICAT??? OR SHOW??? OR DISPLAY??? OR MARK??? OR L- ABEL??? OR DESIGNAT??? OR IDENTIFY??? OR IDENTIFIES OR SPECIF- Y??? OR SPECIFICATION? ?)
S9	152701	S1(5N)S2
S10	9943	S3(5N)S4
S11	14	S5(10N)S6
S12	10	S9(10N)S10
S13	44	S9(S) (S10 OR (S5(S)S6))
S14	53484	IC=G06F-017?
S15	16	S13 AND S14
S16	16	IDPAT (sorted in duplicate/non-duplicate order)
S17	16	IDPAT (primary/non-duplicate records only)

*all*

17/3,K/1 (Item 1 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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01118636 \*\*Image available\*\*

# TRADING SYSTEM

## SYSTEME DE NEGOCIATION

Patent Applicant/Assignee:

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Patent Applicant/Inventor:

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US (Nationality), (Designated only for: US)  
HOWORKA Edward R, 2043 Gates Court, Morris Plains, NJ 07950, US, US  
(Residence), PL (Nationality), (Designated only for: US)  
JAIN Neena, 3756 Victory Street, South Plainfield, NJ 07080, US, US  
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

SOFFEN Stephen A (agent), Dickstein Shapiro Morin & Oshinsky LLP, 2101 L  
Street NW, Washington, DC 20037-1526, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200440422 A2-A3 20040513 (WO 0440422)  
Application: WO 2003US34402 20031029 (PCT/WO US03034402)  
Priority Application: US 2002421792 20021029

Designated States:

(Protection type is "patent" unless otherwise stated - for applications  
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ  
EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK  
LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC  
SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW  
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE  
SI SK TR  
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 14358

Main International Patent Class: G06F-017/60

Fulltext Availability:

Claims

Claim

... engine for matching orders submitted to  
the system for execution of trades;  
a plurality of trading floors each for submitting  
electronic trading messages to the matching engine;  
a market distributor for distributing to each  
trading floor a...

...best price available to the third party  
trading floor, and to execute a second deal between the  
trading floor and the third party trading floor for the  
amount of the first deal.

111. A...

...party wherein the trade between the intermediary and the second party is conducted using a **virtual trading floor** unique to **trades** by the intermediary on behalf of other parties.

113. An anonymous trading system for trading...

17/3,K/2 (Item 2 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00871075 \*\*Image available\*\*

**VIRTUAL INTERACTIVE GLOBAL EXCHANGE**

**EXCHANGE GLOBAL INTERACTIF VIRTUEL**

Inventor(s):

SARMA Sanjay, 67 Hurd Road, Belmont, MA 02478, US,

Patent Applicant/Inventor:

MELKOMIAN Raymond, 68-23 Douglaston Parkway, Douglaston, NY 11362, US, US  
(Residence), US (Nationality)

Legal Representative:

SAPONE William J (agent), Coleman Sudol Sapone P.C., 714 Colorado Avenue,  
Bridgeport, CT 06605, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200205182 A1 20020117 (WO 0205182)

Application: WO 2001US21377 20010706 (PCT/WO US0121377)

Priority Application: US 2000216195 20000706

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE  
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT  
LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM  
TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 11633

Main International Patent Class: **G06F-017/60**

Fulltext Availability:

Claims

Claim

... 7 further comprising providing at least one server containing 3D visualization software for generating the **virtual trading floor** and for supporting **virtual** interactions **among** the avatars therein.

20 The method according to claim 7 further comprising providing multiple servers one server containing 3D visualization software for generating the **virtual trading floor** and for supporting **virtual** interactions **among** registered users therein.

31 The computer trading system of claim 22 wherein. the system has...

17/3,K/4 (Item 4 from file: 349)



DIALOG(R)File 349:PCT FULLTEXT  
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00850766      \*\*Image available\*\*

**METHOD AND APPARATUS FOR CONDUCTING A BIDDING SESSION**

**PROCEDE ET DISPOSITIF PERMETTANT DE CONDUIRE UNE SESSION D'ENCHERES**

Patent Applicant/Assignee:

McKINSEY & COMPANY INC, 55 East 52nd Street, New York, NY 10022, US, US  
(Residence), US (Nationality)

Inventor(s):

CUONG V Do, McKinsey & Company, 27 Floor, Seoul Finance Center, 63  
Mugyo-dong, Jung-gu 100-768, KR,

Legal Representative:

WHITE Grady L (agent), Covington & Burling, 1201 Pennsylvania Avenue, NW,  
Washington, DC 20044-2401, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200184448 A1 20011108 (WO 0184448)

Application: WO 2001US14303 20010503 (PCT/WO US0114303)

Priority Application: US 2000201742 20000504

Designated States:

(Protection type is "patent" unless otherwise stated - for applications  
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ  
EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS  
LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ  
TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 13842

Main International Patent Class: **G06F-017/60**

Fulltext Availability:

Detailed Description

Detailed Description

... the sale price down).

There are procedural and technological problems that undermine the  
buyers' or **sellers** ' ability to use an **online** bidding session to get  
the best possible sale price. From a - 1 procedural standpoint, the...

...best price also cannot be achieved when bidders collude to create an  
artificial ceiling or **floor** **beyond** which bids will not go, or to  
decide in advance who will submit the best...

17/3,K/9      (Item 9 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00799890      \*\*Image available\*\*

**SYSTEM AND METHOD FOR CONDUCTING WEB-BASED FINANCIAL TRANSACTIONS IN  
CAPITAL MARKETS**

**SYSTEME ET PROCEDE PERMETTANT D'OPERER DES TRANSACTIONS FINANCIERES VIA  
L'INTERNET SUR LE MARCHE FINANCIER**

Patent Applicant/Assignee:

INTEGRAL DEVELOPMENT CORPORATION, 156 University Avenue, Palo Alto, CA  
94301, US, US (Residence), US (Nationality), (For all designated states  
except: US)

Patent Applicant/Inventor:

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, US (Nationality), (Designated only for: US)

TOLAT Viral V, 2148 Harkins Avenue, Menlo Park, CA 94025, US, US  
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

CHOU Chien-Wei (Chris) (et al) (agent), Oppenheimer Wolff & Donnelly LLP,  
1400 Page Mill Road, Palo Alto, CA 94304, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200133462 A1 20010510 (WO 0133462)

Application: WO 2000US30076 20001031 (PCT/WO US0030076)

Priority Application: US 99162873 19991101

Designated States:

(Protection type is "patent" unless otherwise stated - for applications  
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE  
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT  
LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM  
TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 52016

Main International Patent Class: **G06F-017/60**

Fulltext Availability:

Claims

Claim

... Trade element 500 has the following XML

definition:

```
<ELEMENT trade (%parties; , (fxSpot I fxForward I  
interestRateFixedFloatSwap I interestRateFloatFloatSwap cap I floor  
I fixedDeposit I fixedLoan I floatDeposit I floatLoan I fxOption I fxSwap  
I crossCurrencyFixedFixedSwap I...for a premium payment, acquires from  
another party the right, but not the obligation, to buy (j.. e ,  
exercise a put option) or sell (Le., exercise a call option) a  
specified quantity of one currency at a specified price...
```

**17/3,K/10** (Item 10 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00764282 \*\*Image available\*\*

**SYSTEM AND METHOD FOR CONDUCTING WEB-BASED FINANCIAL TRANSACTIONS IN  
CAPITAL MARKETS**

**SYSTEME ET PROCEDE DESTINES A OPERER DES TRANSACTIONS FINANCIERES SUR LE  
MARCHE DES CAPITAUX VIA L'INTERNET**

Patent Applicant/Assignee:

INTEGRAL DEVELOPMENT CORPORATION, 2023 Stierlin Court, Mountain View, CA  
94043, US, US (Residence), US (Nationality), (For all designated states

except: US)  
Patent Applicant/Inventor:  
TOLAT Viral Vipin, 2148 Harkins Avenue, Menlo Park, CA 94025, US, US  
(Residence), US (Nationality), (Designated only for: US)  
REES Stephen, Flat 2, The Oak, Knoll Hill, Sneyde Park, Bristol, BS9 1QU,  
GB, GB (Residence), GB (Nationality), (Designated only for: US)  
SANDHU Harpal S, 669 Waverly Street, Palo Alto, CA 94301, US, US  
(Residence), US (Nationality), (Designated only for: US)  
Legal Representative:  
CHOU Chien-Wei (Chris) (agent), Oppenheimer Wolff & Donnelly LLP, 1400  
Page Mill Road, Palo Alto, CA 94304, US,  
Patent and Priority Information (Country, Number, Date):  
Patent: WO 200077709 A1 20001221 (WO 0077709)  
Application: WO 2000US16526 20000613 (PCT/WO US0016526)  
Priority Application: US 99139113 19990614; US 99162873 19991101  
Designated States:  
(Protection type is "patent" unless otherwise stated - for applications  
prior to 2004)  
AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB  
GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD  
MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US  
UZ VN YU ZA ZW  
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM  
Publication Language: English  
Filing Language: English  
Fulltext Word Count: 25485

Main International Patent Class: **G06F-017/60**  
Fulltext Availability:  
Claims

Claim

... Payments \*=0 20 90  
"Connect"  
H17P/I Automated  
(30 rocess Messaging 120  
Server  
Chat PG  
**Trading** 4=\*

1 30  
**Web** 019 160 180  
Confirmation manu I Browser  
file Trading Reports  
uploaL.....j  
U  
Rn 85...

...100  
Settlement Back-End  
System Web  
Server System  
Database  
220  
Ce a I t :im e :D a  
FIGO  
/ 18

300

**Transaction** Negotiations Member and Provider execute s=dardized  
agreements  
Process 310  
Flow Negotiations Member and Provider...

...Structure

510  
InternalParty@@ 515  
Counterparty  
520  
i,n,ternalParty  
nalParty  
FXSpot  
500 FXForward  
Trade InterestRateFixedFlo  
**InterestRateFloatFloatSwap**  
**Floor I**  
FixedDeposit  
530 FixedLoan  
TradeType FloatlDeposit  
FloatLoan  
FXOption  
IFXSwap I  
fc=0=CurrencyFixedFixedSwa@  
pi  
I...

...5

Currency  
CashPavment Amount  
920  
PrincipalPay=L Amount  
-.4 PaymentDate@]  
930  
PaymentDa7te  
--FinterestPayment StartDate  
Fixed **InterestRate**  
St te **FloorinterestRate**  
900  
Events 940 **CapinterestRa7te -7]**  
InterestCalcu NotionalAmountTj  
Calculation@nDate@  
StartDate  
EndDate  
Amount  
--i Interest Rate  
950 =IationDate  
poun@dlntere...name of data base and, where practicable, search terms  
used)  
PROQUEST DATABASES  
Search Terms: foreign, **exchange** , **internet** , currency, **trading**  
C. DOCUMENTS CONSIDERED TO BE RELEVANT  
Category\* Citation of document, with indication, where appropriate, of...  
Traded Over Internet in Year." M2 Presswire. February 16, 1998.  
Pages 1  
A HIGGINS, KIEERAN. " **Internet FX Trading Goes On - Line** ," 1-35  
Corporate Finance. September 1996. Pages 1  
Form PCT/ISA/210 (continuation of second...

17/3,K/13 (Item 13 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00488469 \*\*Image available\*\*

**SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR ELECTRONIC TRADING OF  
FINANCIAL INSTRUMENTS  
SYSTEMES, METHODES ET PROGRAMMES INFORMATIQUES DESTINES A LA NEGOCIATION  
ELECTRONIQUE D'INSTRUMENTS FINANCIERS**

Patent Applicant/Assignee:

DERIVATIVES NET INC,  
MAY R Raymond,

Inventor(s):

MAY R Raymond,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9919821 A1 19990422

Application: WO 98US21518 19981013 (PCT/WO US9821518)

Priority Application: US 9762410 19971014

Designated States:

(Protection type is "patent" unless otherwise stated - for applications  
prior to 2004)

AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES  
FI GB GD GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU  
LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT  
UA UG US UZ VN YU ZW GH GM KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ  
TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI  
CM GA GN GW ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 34553

Main International Patent Class: G06F-017/60

Fulltext Availability:

Claims

Claim

... of the present invention. In particular, it was recognized that in  
order to achieve an **electronic trading** system for a wide range of  
financial  
SUBSTITUTE SHEET (RULE 26)  
contracts, a solution had...been one of major inhibitors to the  
development and implementation of an efficient inter-dealer **electronic  
trading** system for over-the-counter (OTC) derivatives.  
The symbology will, among other things, ensure that the...spot and  
forward coupons.  
The credit preference feature of the present invention provides for the  
**bilateral credit** status between two entities to be captured,  
structured and used anonymously for the trading of...

17/3,K/14 (Item 14 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00405058 \*\*Image available\*\*

**DISTRIBUTED MATCHING SYSTEM FOR DISPLAYING A BOOK OF CREDIT FILTERED BIDS  
AND OFFERS**

**SYSTEME D'APPARIEMENT REPARTI POUR L'AFFICHAGE DE SOUMISSIONS ET D'OFFRES  
FILTRES EN FONCTION D'UN LIVRE DE CREDIT**

Patent Applicant/Assignee:

REUTERS TRANSACTION SERVICES LIMITED,

Inventor(s):

SILVERMAN David L,

HOFFMAN Jack W,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9745802 A2 19971204

Application: WO 97IB819 19970523 (PCT/WO IB9700819)

Priority Application: US 96654685 19960529

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH HU  
IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL  
PT RO RU SD SE SG SI SK TJ TM TR TT UA UG UZ VN YU GH KE LS MW SD SZ UG  
AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL  
PT SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 7908

Main International Patent Class: **G06F-017/60**

Fulltext Availability:

Detailed Description

Claims

Detailed Description

... bandwidth capacity. Furthermore, the vast number of calculations required to continually determine unilateral and/or **bilateral credit** availability between each potential counterparty in the network as well as aggregated and average prices...

...be performed in such as way as to not exceed the processing limitations of the **network**. Since each **trading** entity's view of the market is different and must be continually updated as the...

...as credit limits between parties change, the hardware limitations of providing a dynamically updated, fully- **filtered** view of the market for multiple financial instruments to multiple trading entities are significant.

One...

Claim

... a trading entity, for receiving and displaying said individual market display information.

2 A distributed **electronic trading** system according to claim 1, wherein said selected credit information includes **bilateral credit** availability between an individual trading entity and potential counterparties as determined by said orders in the system, thereby enabling the trading entity to view bilaterally credit **filtered** individual market display information.

3 A distributed **electronic trading** system according to claim 1, wherein said selected credit information includes **bilateral credit** availability between an individual trading entity and potential counterparties as determined by said orders in the system, thereby

enabling the trading entity to view unilaterally credit **filtered**  
individual market display information.

24

. A distributed **electronic trading** system according to claim 1,  
wherein N is more than 1.

5 A distributed electronic...

? show files;ds  
 File 2:INSPEC 1969-2005/Sep W1  
     (c) 2005 Institution of Electrical Engineers  
 File 35:Dissertation Abs Online 1861-2005/Aug  
     (c) 2005 ProQuest Info&Learning  
 File 65:Inside Conferences 1993-2005/Sep W2  
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 File 99:Wilson Appl. Sci & Tech Abs 1983-2005/Jul  
     (c) 2005 The HW Wilson Co.  
 File 474:New York Times Abs 1969-2005/Sep 10  
     (c) 2005 The New York Times  
 File 475:Wall Street Journal Abs 1973-2005/Sep 09  
     (c) 2005 The New York Times  
 File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13  
     (c) 2002 The Gale Group  
 File 256:TecInfoSource 82-2005/Sep  
     (c) 2005 Info.Sources Inc  
 File 139:EconLit 1969-2005/Jul  
     (c) 2005 American Economic Association

*Bibliographic  
NPL files*

Set	Items	Description
S1	2336464	TRADES OR TRADING OR EXCHANG? OR TRANSACTION? ? OR DEALING? ? OR DEALS OR SELL??? OR TRANSFER? ? OR TRANSFERR??? OR ACTI- VITY OR BUY??? OR INVEST???
S2	3497481	VIRTUAL OR ELECTRONIC OR DIGITAL OR E OR MACHINE()READABLE OR COMPUTERI? OR INTERNET OR WORLDWIDE??? OR WEB OR WIDEWEB OR NETWORK?? OR ONLINE OR ON()LINE OR EXTRANET? ? OR INTRANET? ?
S3	3223146	ACROSS OR BETWEEN OR AMONG? OR BEYOND OR INTER OR TRANS OR " (3W) (ANOTHER OR NEXT) FROM"
S4	56605	FLOOR? ? OR TRADINGFLOOR? ? OR TRADING() (AREA? ? OR ROOM? ? OR CHAMBER? ?)
S5	2553766	SEPARAT??? OR SCREEN??? OR PRESSCREEN? OR SORT??? OR SEPARA- T??? OR DIVID??? OR ARRANG??? OR ORGANIZ? OR ORGANIS? OR CLAS- SIF??? OR CATEGORIZ??? OR CATEGORIS??? OR EXTRACT??? OR FILTE- R???
S6	83	(BILATERAL OR MUTUAL?? OR RECIPROCAL OR (2 OR TWO) ()WAY? ?- ) () (CREDIT OR CREDITLINE? OR CREDITLIMIT? OR APPROV???) OR (D- EALABLE OR ACCEPTABLE OR CUSTOMI?) ()QUOTE? ?
S7	2600593	PRICE? ? OR PRICING OR CHARGE? ? OR COST? ? OR VALUE OR WO- RTH OR VALUATION? ?
S8	193638	S7(5N) (INDICAT??? OR SHOW??? OR DISPLAY??? OR MARK??? OR L- ABEL??? OR DESIGNAT??? OR IDENTIFY??? OR IDENTIFIES OR SPECIF- Y??? OR SPECIFICATION? ?)
S9	110374	S1(5N)S2
S10	1362	S3(5N)S4
S11	2	S5(10N)S6
S12	9	S9(10N)S10
S13	16	S9(S) (S10 OR (S5(S)S6))
S14	23	S9 AND (S10 OR (S5 AND S6))
S15	10432	S9(S) (S5 OR S6 OR S10)
S16	3156	S9(10N) (S5 OR S6 OR S10)
S17	49	S8(S)S16
S18	64	S8 AND S16
S19	86	S14 OR S18
S20	31	S19 NOT PY>1992
S21	31	S20 NOT PD=19920204:20051031
S22	30	RD (unique items)



17/AN,AZ, TI/1 (Item 1 from file: 349)  
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

01118636

**TRADING SYSTEM**

**SYSTEME DE NEGOCIATION**

Application: WO 2003US34402 20031029 (PCT/WO US03034402)

17/AN,AZ, TI/2 (Item 2 from file: 349)  
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00871075

**VIRTUAL INTERACTIVE GLOBAL EXCHANGE**

**ECHANGE GLOBAL INTERACTIF VIRTUEL**

Application: WO 2001US21377 20010706 (PCT/WO US0121377)

17/AN,AZ, TI/3 (Item 3 from file: 349)  
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00865387

**COMPOUND ORDER HANDLING IN AN ANONYMOUS TRADING SYSTEM**

**GESTION DES ORDRES COMPOSES DANS LE CADRE D'UN SYSTEME DE TRANSACTION ANONYME**

Application: WO 2001IB1476 20010622 (PCT/WO IB0101476)

17/AN,AZ, TI/4 (Item 4 from file: 349)  
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00850766

**METHOD AND APPARATUS FOR CONDUCTING A BIDDING SESSION**

**PROCEDE ET DISPOSITIF PERMETTANT DE CONDUIRE UNE SESSION D'ENCHERES**

Application: WO 2001US14303 20010503 (PCT/WO US0114303)

17/AN,AZ, TI/5 (Item 5 from file: 349)  
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00822195

**FULLY FLEXIBLE FINANCIAL INSTRUMENT PRICING SYSTEM WITH INTELLIGENT USER INTERFACES**

**SYSTEME DE TARIFICATION D'INSTRUMENTS FINANCIERS TOTALEMENT FLEXIBLE POURVU D'INTERFACES UTILISATEUR INTELLIGENTES**

Application: WO 2001SG10 20010126 (PCT/WO SG0100010)

17/AN,AZ, TI/6 (Item 6 from file: 349)  
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00806392

**TECHNOLOGY SHARING DURING ASSET MANAGEMENT AND ASSET TRACKING IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT AND METHOD THEREOF**

**PARTAGE TECHNOLOGIQUE LORS DE LA GESTION ET DU SUIVI DU PARC INFORMATIQUE DANS UN ENVIRONNEMENT DU TYPE CHAINE D'APPROVISIONNEMENT RESEAUTE, ET PROCEDE ASSOCIE**

Application: WO 2000US32310 20001122 (PCT/WO US0032310)

17/AN,AZ,TI/7 (Item 7 from file: 349)  
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00806389

**SCHEDULING AND PLANNING BEFORE AND PROACTIVE MANAGEMENT DURING MAINTENANCE  
AND SERVICE IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT  
PROGRAMMATION ET PLANIFICATION ANTICIPEE, ET GESTION PROACTIVE AU COURS DE  
LA MAINTENANCE ET DE L'ENTRETIEN D'UN ENVIRONNEMENT DU TYPE CHAINE  
D'APPROVISIONNEMENT RESEAUTE**

Application: WO 2000US32228 20001122 (PCT/WO US0032228)

17/AN,AZ,TI/8 (Item 8 from file: 349)  
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00804485

**VIRTUAL TRADING FLOOR AND INTELLIGENT AGENTS FOR TELECOMMUNICATIONS  
PRODUCTS AND SERVICES  
PARQUET BOURSIER VIRTUEL ET AGENTS INTELLIGENTS POUR PRODUITS ET SERVICES  
DE TELECOMMUNICATIONS**

Application: WO 2000US30147 20001120 (PCT/WO US0030147)

17/AN,AZ,TI/9 (Item 9 from file: 349)  
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00799890

**SYSTEM AND METHOD FOR CONDUCTING WEB-BASED FINANCIAL TRANSACTIONS IN  
CAPITAL MARKETS  
SYSTEME ET PROCEDE PERMETTANT D'OPERER DES TRANSACTIONS FINANCIERES VIA  
L'INTERNET SUR LE MARCHE FINANCIER**

Application: WO 2000US30076 20001031 (PCT/WO US0030076)

17/AN,AZ,TI/10 (Item 10 from file: 349)  
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00764282

**SYSTEM AND METHOD FOR CONDUCTING WEB-BASED FINANCIAL TRANSACTIONS IN  
CAPITAL MARKETS  
SYSTEME ET PROCEDE DESTINES A OPERER DES TRANSACTIONS FINANCIERES SUR LE  
MARCHE DES CAPITAUX VIA L'INTERNET**

Application: WO 2000US16526 20000613 (PCT/WO US0016526)

17/AN,AZ,TI/11 (Item 11 from file: 349)  
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00761429

**METHODS, CONCEPTS AND TECHNOLOGY FOR A VIRTUAL SHOPPING SYSTEM CAPABLE OF  
ASSESSING NEEDS OF A CUSTOMER AND RECOMMENDING A PRODUCT OR SERVICE  
BASED ON SUCH ASSESSED NEEDS  
PROCEDES, CONCEPTS ET TECHNOLOGIE POUR SYSTEME D'ACHAT VIRTUEL CAPABLE  
D'EVALUER LES BESOINS D'UN CLIENT ET DE RECOMMANDER UN PRODUIT OU UN**

**SERVICE SUR LA BASE DE CES BESOINS**

Application: WO 2000US14357 20000524 (PCT/WO US0014357)

**17/AN,AZ, TI/12 (Item 12 from file: 349)**

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00747122

**SYSTEM AND METHOD FOR DETERMINING A POSTING PAYMENT AMOUNT**

**SYSTEME ET PROCEDE SERVANT A DETERMINER LE MONTANT D'UN REGLEMENT ENTRE PARTICULIERS**

Application: WO 2000US7861 20000324 (PCT/WO US0007861)

**17/AN,AZ, TI/13 (Item 13 from file: 349)**

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00488469

**SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR ELECTRONIC TRADING OF FINANCIAL INSTRUMENTS**

**SYSTEMES, METHODES ET PROGRAMMES INFORMATIQUES DESTINES A LA NEGOCIATION ELECTRONIQUE D'INSTRUMENTS FINANCIERS**

Application: WO 98US21518 19981013 (PCT/WO US9821518)

**17/AN,AZ, TI/14 (Item 14 from file: 349)**

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00405058

**DISTRIBUTED MATCHING SYSTEM FOR DISPLAYING A BOOK OF CREDIT FILTERED BIDS AND OFFERS**

**SYSTEME D'APPARIEMENT REPARTI POUR L'AFFICHAGE DE SOUMISSIONS ET D'OFFRES FILTRES EN FONCTION D'UN LIVRE DE CREDIT**

Application: WO 97IB819 19970523 (PCT/WO IB9700819)

**17/AN,AZ, TI/15 (Item 15 from file: 349)**

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00401843

**APPARATUS AND METHOD FOR MANAGING AND DISTRIBUTING DESIGN AND MANUFACTURING INFORMATION THROUGHOUT A SHEET METAL PRODUCTION FACILITY**

**APPAREIL ET METHODE CORRESPONDANTE PERMETTANT DE GERER ET DE REPARTIR UNE INFORMATION RELATIVE A LA CONCEPTION ET A LA FABRICATION DANS UNE INSTALLATION DE PRODUCTION DE TOLES**

Application: WO 97US7472 19970506 (PCT/WO US9707472)

**17/AN,AZ, TI/16 (Item 16 from file: 349)**

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00363084

**METHOD AND SYSTEM FOR PROVIDING CREDIT SUPPORT TO PARTIES ASSOCIATED WITH DERIVATIVE AND OTHER FINANCIAL TRANSACTIONS**

**PROCEDE VISANT A FOURNIR UN SOUTIEN AU CREDIT A DES PARTIES ASSOCIEES ET AUTRES TRANSACTIONS FINANCIERES ET DISPOSITIF CORRESPONDANT**

Application: WO 96GB1687 19960715 (PCT/WO GB9601687)

22/3,K/4 (Item 4 from file: 2)  
DIALOG(R)File 2:INSPEC  
(c) 2005 Institution of Electrical Engineers. All rts. reserv.

03490980 INSPEC Abstract Number: D85002050

**Title: Speedy on - line trading system links Marine's int'l branches**  
Journal: Bank Systems & Equipment vol.22, no.4 p.78-80  
Publication Date: April 1985 Country of Publication: USA  
CODEN: BSEQD6 ISSN: 0146-0900  
Language: English  
Subfile: D

**Title: Speedy on - line trading system links Marine's int'l branches**  
...Abstract: loan, multicurrency accounting and global exposure operations with a static customer information base. The link among trading rooms in New York, London, Paris and Panama allows traders to update their positions instantly using...

... in a realtime environment. The link between branches will eventually allow Marine around-the-clock online trading . The system runs on the IBM 4341, 4361 and 4381 mainframes as well as the...

...Identifiers: online trading

22/3,K/11 (Item 4 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
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01168919 ORDER NO: AAD91-25774

**INFORMATION TECHNOLOGY AND SECURITIES MARKETS: FEASIBILITY AND DESIRABILITY OF ALTERNATIVE ELECTRONIC TRADING SYSTEMS**

Author: WEBER, BRUCE WILLIAM  
Degree: PH.D.  
Year: 1991  
Corporate Source/Institution: UNIVERSITY OF PENNSYLVANIA (0175)  
Source: VOLUME 52/03-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 1019. 309 PAGES

...advantages to be realized through automation. The thesis examines the possibility that today's securities markets will be displaced by lower-cost electronic trading systems, and demonstrates two experimental methodologies for evaluating the prospects for an important...

...liquidity advantage of established exchanges protects those markets from the competitive threat of potentially superior electronic trading alternatives. Furthermore the thesis challenges the notion that screen-based electronic markets with no formal dealer-intermediary roles provide a superior market mechanism to...

22/3,K/12 (Item 5 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
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01168895 ORDER NO: AAD91-25732

**REPUTATION AND SUPPLIER OPPORTUNISM IN MARKETS AND FIRMS**

Author: POPPO, LAURA HELM  
Degree: PH.D.

Year: 1991  
Corporate Source/Institution: UNIVERSITY OF PENNSYLVANIA (0175)  
Source: VOLUME 52/03-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 998. 129 PAGES

...potential solution, reputation. I also argue that vertical integration does not necessarily result in cooperative **exchanges**: the design of internal **transfers** (e.g. profit center **organization** and **transfer** pricing policy) affect the level of cooperation. This study addresses two general areas: (1) Whether...

...product cost disclosure reduces negotiation costs which result from unanticipated changes in the product's costs in both **market** and intra-firm exchanges and, (2) What conditions give suppliers an incentive to build this...

22/3,K/13 (Item 6 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
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1018329 ORDER NO: NOT AVAILABLE FROM UNIVERSITY MICROFILMS INT'L.

**COMPUTER-INTEGRATED MONITORING**

Author: MEEGODA, RANJANA LALITH VASANTHA  
Degree: PH.D  
Year: 1987  
Corporate Source/Institution: UNIVERSITY OF ASTON IN BIRMINGHAM (UNITED KINGDOM) (0734)  
Source: VOLUME 49/03-C OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 511.

...data which is collected this way however is useless in the absence of a proper **computerized** management system. The **transfer** of data **between** the management and the shop **floor** processes has been impossible in the past unless all the computers in the system were...

22/3,K/16 (Item 1 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2005 The New York Times. All rts. reserv.

04300410 NYT Sequence Number: 000000840818  
(**American Stock Exchange and Toronto Stock Exchange are scheduled to disclose plans to develop electronic link between their two trading floors (S)**)  
New York Times, Col. 5, Pg. 16, Sec. 4  
Tuesday December 18 1984

(**American Stock Exchange and Toronto Stock Exchange are scheduled to disclose plans to develop electronic link between their two trading floors (S)**)

22/3,K/17 (Item 2 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2005 The New York Times. All rts. reserv.

00812928 NYT Sequence Number: 083706771021

(Proposed electronic link between floors of NY Stock Exchange and Pacific Stock Exchange will be broadened to include Philadelphia Stock Exchange. Agreement between 3 exchanges is subject to approval by their individual bds and Securities and Exchange Com (S).)

New York Times, Col. 6, Pg. 2, Sec. 4

Friday October 21 1977

(Proposed electronic link between floors of NY Stock Exchange and Pacific Stock Exchange will be broadened to include Philadelphia Stock...

COMPANY NAMES: SECURITIES AND EXCHANGE COMMISSION (S; E; C; ); STOCK EXCHANGE, NY (NYSE); STOCK EXCHANGE, PACIFIC COAST; STOCK EXCHANGE, PHILADELPHIA (PBW)

DESCRIPTORS: CENTRAL MARKET SYSTEM (STOCKS); ELECTRONIC FUNDS TRANSFER SYSTEMS (EFTS); STOCKS AND BONDS (GENERAL)

22/3,K/23 (Item 1 from file: 583)

DIALOG(R)File 583:Gale Group Globalbase(TM)

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04326205

GERMAN BOURSES INTRODUCE TECHNOLOGICAL REFORM

GERMANY - GERMAN BOURSES INTRODUCE TECHNOLOGICAL REFORM

Financial Times (C) 1991 (FT) 12 June 1991 p29

...06.91) meeting at the Frankfurt stock exchange opened the way for a more effective **electronic** securities **trading** system and put in place the framework for rationalising the regional character of the German...

... to Munich - open until recently for just two hours a day - which split up liquidity **between** themselves via an antiquated **floor** and paper-based system bolstered by scores of public-service brokers. But so entrenched were...

... Last week's plan, the brainchild of the big Frankfurt-based banks, involves an integrated **electronic** system for **trading** the top 30 blue chip stocks, the most liquid government bonds and equity and fixed...

22/3,K/28 (Item 6 from file: 583)

DIALOG(R)File 583:Gale Group Globalbase(TM)

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02922095

25% OF LIFFE MEMBERS CHOOSE ELECTRONIC TRADING

UK - 25% OF LIFFE MEMBERS CHOOSE ELECTRONIC TRADING

Financial Times (C) 1991 (FT) 18 September 1989 p27

About 50 LIFFE members have chosen to use Automated Pit **Trading**, a **screen** **electronic** **trading** system for after hours **trading**. They represent about two-thirds of total business on the London futures **market**. Subscription **costs** GBP15k and rental per month is GBP900. The system may start in the latter half...

22/3,K/29 (Item 7 from file: 583)

DIALOG(R)File 583:Gale Group Globalbase(TM)

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02814214

BANKS PLAN SECURITIES COMPUTER NETWORK

W GERMANY - BANKS PLAN SECURITIES COMPUTER NETWORK  
Wall Street Journal Europe (WSJ) 26 July 1989 p9

... the Inter-bank Information System (IBIS) on 1 December 1989. IBIS is a computer-based **network** for off- **floor** securities **transactions** , expanding trading possibilities **beyond** the official stock exchange hours of 11.30 am-1.30pm.. The network will link...

22/AA,AN,TI/1 (Item 1 from file: 2)  
DIALOG(R)File 2:(c) 2005 Institution of Electrical Engineers. All rts.  
reserv.

**Title: Virtual intermediates in photosynthetic electron transfer**

22/AA,AN,TI/2 (Item 2 from file: 2)  
DIALOG(R)File 2:(c) 2005 Institution of Electrical Engineers. All rts.  
reserv.

**Title: Charge transition densities for the excitation and nucleon decay of the giant dipole resonance in /sup 16/O**

22/AA,AN,TI/3 (Item 3 from file: 2)  
DIALOG(R)File 2:(c) 2005 Institution of Electrical Engineers. All rts.  
reserv.

**Title: An evaluation of sorting algorithms for common-bus local networks**

22/AA,AN,TI/4 (Item 4 from file: 2)  
DIALOG(R)File 2:(c) 2005 Institution of Electrical Engineers. All rts.  
reserv.

**Title: Speedy on - line trading system links Marine's int'l branches**

22/AA,AN,TI/5 (Item 5 from file: 2)  
DIALOG(R)File 2:(c) 2005 Institution of Electrical Engineers. All rts.  
reserv.

**Title: Cellular radio networks**

22/AA,AN,TI/6 (Item 6 from file: 2)  
DIALOG(R)File 2:(c) 2005 Institution of Electrical Engineers. All rts.  
reserv.

**Title: Charge transfer in alloys**

22/AA,AN,TI/7 (Item 7 from file: 2)  
DIALOG(R)File 2:(c) 2005 Institution of Electrical Engineers. All rts.  
reserv.

**Title: Putting a price on EDP services**

22/AA,AN,TI/8 (Item 1 from file: 35)  
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01234904

**CORPORATE INSIDER TRADING AS A STOCK MARKET AND ECONOMIC INDICATOR (INSIDER TRADING)**



22/AA,AN,TI/9 (Item 2 from file: 35)  
DIALOG(R)File 35:(c) 2005 ProQuest Info&Learning. All rts. reserv.

01199943

SCALED PRECEDENCE ACTIVITY NETWORK (SPAN) APPROACH TO DESIGN OF  
TRANSPORTATION SYSTEMS: A METHODOLOGY DEVELOPED TO INTRODUCE ROAD  
FACILITIES FOR LEAN VEHICLES (COMMUTER VEHICLES, PARKING)

22/AA,AN,TI/10 (Item 3 from file: 35)  
DIALOG(R)File 35:(c) 2005 ProQuest Info&Learning. All rts. reserv.

01182318

THE MARKET FOR COMPUTER READABLE INFORMATION

22/AA,AN,TI/11 (Item 4 from file: 35)  
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01168919

INFORMATION TECHNOLOGY AND SECURITIES MARKETS: FEASIBILITY AND DESIRABILITY  
OF ALTERNATIVE ELECTRONIC TRADING SYSTEMS

22/AA,AN,TI/12 (Item 5 from file: 35)  
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01168895

REPUTATION AND SUPPLIER OPPORTUNISM IN MARKETS AND FIRMS

22/AA,AN,TI/13 (Item 6 from file: 35)  
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1018329

COMPUTER-INTEGRATED MONITORING

22/AA,AN,TI/14 (Item 7 from file: 35)  
DIALOG(R)File 35:(c) 2005 ProQuest Info&Learning. All rts. reserv.

0959989

DETERMINANTS OF THE OPTIMUM DIVIDEND PAYOUT RATIOS: EMPIRICAL EVIDENCE

22/AA,AN,TI/15 (Item 8 from file: 35)  
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698183

PROSPERITY WITHOUT DEVELOPMENT: THE PARAENSE ELITE AND THE AMAZON RUBBER  
BOOM, 1850-1920

22/AA,AN,TI/16 (Item 1 from file: 474)  
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04300410 NYT Sequence Number: 000000840818

(American Stock Exchange and Toronto Stock Exchange are scheduled to

disclose plans to develop electronic link between their two trading floors (S))

22/AA,AN,TI/17 (Item 2 from file: 474)

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00812928 NYT Sequence Number: 083706771021

(Proposed electronic link between floors of NY Stock Exchange and Pacific Stock Exchange will be broadened to include Philadelphia Stock Exchange. Agreement between 3 exchanges is subject to approval by their individual bds and Securities and Exchange Com (S).)

22/AA,AN,TI/18 (Item 3 from file: 474)

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00762973 NYT Sequence Number: 033751770824

(NY and Pacific Stock Exchs agree to electronic link between their trading floors that would allow members of either exchange to shop both markets simultaneously for best prevailing price. Agreement, subject to approval of SEC and dirs of both exchs, is seen as first step in creation of central securities market (S).)

22/AA,AN,TI/19 (Item 4 from file: 474)

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00755009 NYT Sequence Number: 025787770602

(19 major firms in stock brokerage and investment banking indus issue position statement that backs auction market for securities, and attacks SEC proposal to allow NYSE members to trade listed stocks off-board. Also propose more competition among specialists, NYSE floor members who buy and sell stocks from brokerages and purchase securities for their own accounts. Proposals also include establishment of competing specialists for 50 most actively traded stocks, lowering capital requirements for specialists, and permitting specialists to choose any securities in which to compete. Firms that have signed position statement account for 37% of commissions at NYSE, and include Bache Halsey Stuart, E F Hutton & Co, Paine Webber Jackson & Curtis, Goldman Sachs & Co, Lehman Bros and Salomon Bros. Photo (M).)

22/AA,AN,TI/20 (Item 5 from file: 474)

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00557253 NYT Sequence Number: 124283741211

(NYSE chmn James J Needham reiterates exch's intention to fight in court, if necessary, to keep fixed brokerage rates until accompanying safeguards are developed, testimony at SEC hearing into its order to end fixed fees by May 1 '75. Says that as further condition, mkt makers in over-the-counter mkt should be subjected to same regulations as now apply to NYSE specialists. Insists that present practice of allowing stock exchs to set floor borkerage rates charged between professionals operating on exch floor should be continued. Illus of Needham and SEC Chmn Ray D Garrett Jr (M).)

22/AA,AN,TI/21 (Item 6 from file: 474)  
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00549634 NYT Sequence Number: 116664741107  
(SEC schedules hearing, Nov 13, in effort to determine whether criteria are needed to judge when and if foreign or domestic interests are trying to gain control of US cos (S).)

22/AA,AN,TI/22 (Item 1 from file: 475)  
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01134096 NYT Sequence Number: 000311800801  
(Argument between off-floor and on-floor traders over larger brokerage firms bypassing market makers at NY Stock Exchange intensifies as Merrill Lynch and Shearson Loeb Rhoades begin handling some retail orders in their own trading rooms. Brokers were given permission by SEC to experimentally conduct off-floor trading in some 130 stocks. Move irks on-floor traders at NY Stock Exchange, where market makers view off-floor trading as threat to their business (S).)

22/AA,AN,TI/23 (Item 1 from file: 583)  
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04326205  
GERMAN BOURSES INTRODUCE TECHNOLOGICAL REFORM  
GERMANY - GERMAN BOURSES INTRODUCE TECHNOLOGICAL REFORM

22/AA,AN,TI/24 (Item 2 from file: 583)  
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04218780  
FRANKFURT BOURSE PLANS NEW SYSTEMS  
GERMANY - FRANKFURT BOURSE PLANS NEW SYSTEMS

22/AA,AN,TI/25 (Item 3 from file: 583)  
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04014724  
COMECON WILL BE DISMANTLED  
E EUROPE - COMECON WILL BE DISMANTLED

22/AA,AN,TI/26 (Item 4 from file: 583)  
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03748283  
HEWLETT-PACKARD LAUNCHES OSI SOFTWARE  
UK - HEWLETT-PACKARD LAUNCHES OSI SOFTWARE

22/AA,AN,TI/27 (Item 5 from file: 583)  
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03386441  
CREDIT AGRICOLE ORDERS ALPHAMERIC OTS SYSTEM  
US - CREDIT AGRICOLE ORDERS ALPHAMERIC OTS SYSTEM

**22/AA,AN,TI/28** (Item 6 from file: 583)  
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02922095  
25% OF LIFFE MEMBERS CHOOSE ELECTRONIC TRADING  
UK - 25% OF LIFFE MEMBERS CHOOSE ELECTRONIC TRADING

**22/AA,AN,TI/29** (Item 7 from file: 583)  
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02814214  
BANKS PLAN SECURITIES COMPUTER NETWORK  
W GERMANY - BANKS PLAN SECURITIES COMPUTER NETWORK

**22/AA,AN,TI/30** (Item 1 from file: 139)  
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324580  
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